



# 'TIS THE SEASON: A MATHEMATICAL FORAY INTO SECRET SANTA

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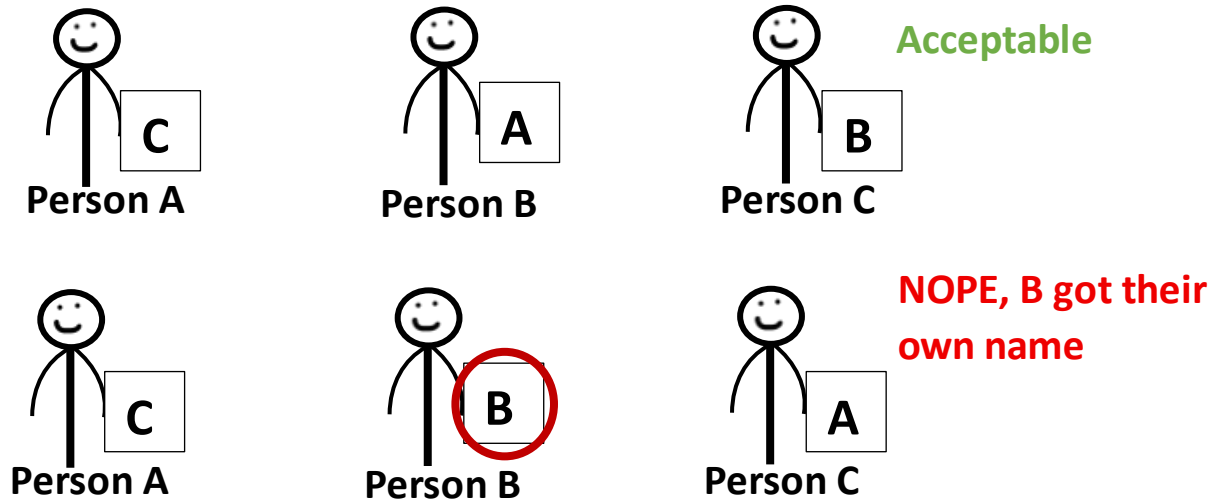
## 1. INTRODUCTION

Every December, a switch that triggers the spirit of giving, having been forgotten for the rest of the year, is flicked on inside everybody. And what better way to vent it out than Secret Santa, an annual tradition in schools, workplaces and families alike. In case you are not aware, Secret Santa is a game where a group of people anonymously give gifts to each other, by writing their names on paper chits which are randomly picked up by different members of the group. Each member gives a gift to the person whose name is on the chit they picked, without revealing who they are, (hopefully) making it secret.

Yet, anyone who's played the game before knows only too well how much of a hassle picking names can be, going to the extent of crumbling the holiday cheer. In this essay, we'll explore the mathematics behind why the way we usually play this game is the stuff of nightmares.

## 2. DERANGEMENTS

The main rule of Secret Santa is this: **NO ONE SHOULD PICK THEIR OWN NAME.** If that does happen, everyone returns their chits, and the drawing repeats (most people pick the chits one by one from a hat, bowl, etc.).



A common problem is the frequent number of times people get their own names, and the draw has to be repeated, often multiple times. To put this in mathematical terms, we are looking for permutations of  $\{A, B, C\}$  such that none of the elements A, B or C remain in their original positions. If  $S$  is the set of all permutations of  $\{A, B, C\}$ ,

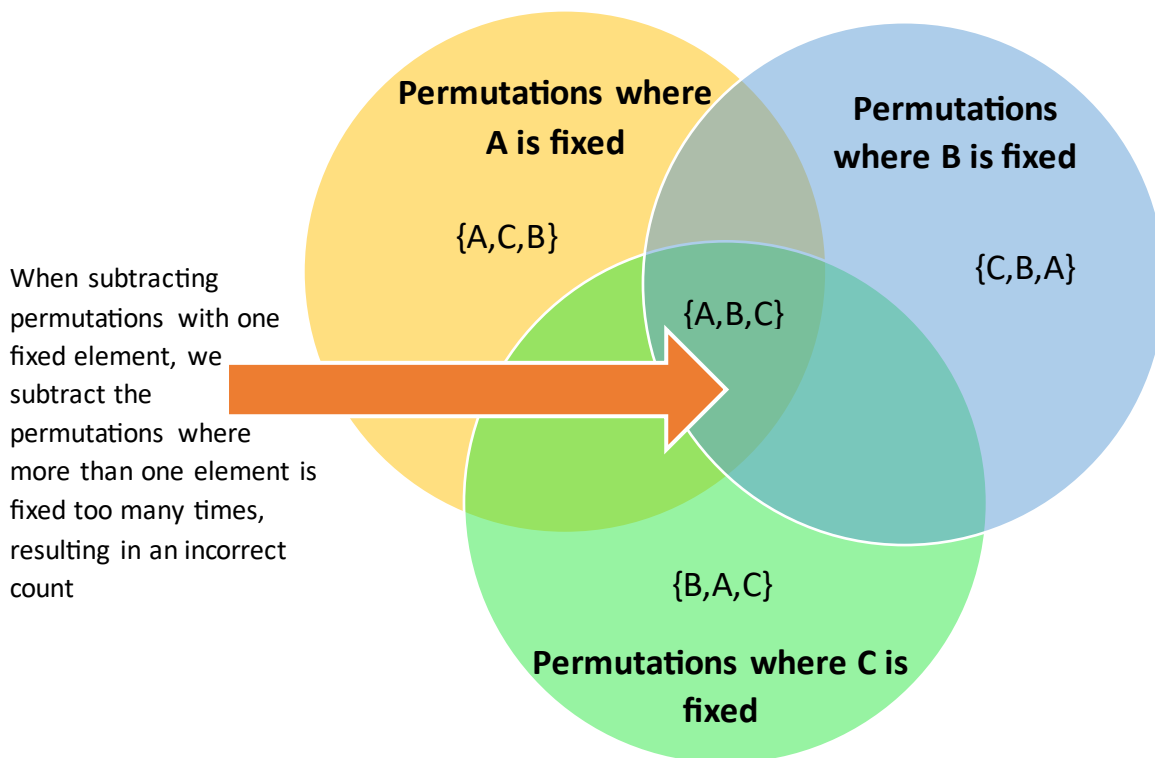
$$S = \{ \{A, B, C\}, \{A, C, B\}, \{B, A, C\}, \{B, C, A\}, \{C, A, B\}, \{C, B, A\} \}$$

Out of the 6 permutations, only 2 fill our criteria (where A is not in the first position, B is not in the second, and C is not in the third). We call such a permutation a **DERANGEMENT**.

So, to see why so many re-attempts need to happen, one way to go is to try and find the probability of a permutation being a derangement. Firstly, we need to find the number of derangements for a set having  $n$  elements. Simple combinatorics tells us that the total number of permutations is  $n!$ . From that, we start by subtracting those permutations where one element is fixed in its original position. This is achieved by CHOOSING one term (to be fixed), and arranging the rest of the elements, or

$$\binom{n}{1}(n-1)! = \frac{n!}{(n-1)!1!}(n-1)! \\ = \frac{n!}{1!}$$

However, this puts us in the danger of overcounting, as depicted by this Venn Diagram for our scenario when  $n=3$ . (Each circle is a set containing all the permutations where the  $i$ th term fixed.)



Thus, we need to add back the permutations which were subtracted in excess (an example of the Principle of Inclusion-Exclusion, in fact!). We add back the permutations where two elements are fixed, and the rest arranged (as these are in the unions of the sets where one element is fixed and another element is fixed),

$$\text{which is equal to } \binom{n}{2}(n-2)! = \frac{n!}{(n-2)!2!}(n-2)! \\ = \frac{n!}{2!}$$

But adding the overlap of two sets ensures permutations in the overlap of three sets (i.e three elements fixed in original positions) to be added multiple times, so we need to subtract those as well. The number of permutations where three elements are fixed is equal to, by previous logic,  $\frac{n!}{3!}$ . Due to this overlap, it is evident that we need to continue this alternation of addition and subtraction until we come to the union of all n sets -  $\frac{n!}{n!}$ . Therefore, if  $!n$  represents the number of derangements of a set of n elements,

$$!n = n! - \frac{n!}{1!} + \frac{n!}{2!} - \frac{n!}{3!} + \dots + \frac{(-1)^n n!}{n!}$$

Factoring out the  $n!$ ,

$$\begin{aligned} !n &= n! \left( 1 - \frac{1}{1!} + \frac{1}{2!} - \frac{1}{3!} + \dots + \frac{(-1)^n}{n!} \right) \\ &= n! \sum_{k=0}^n \frac{(-1)^k}{k!} \end{aligned}$$

To see it better, I'm going to see the number of derangements for 6 people (the number of people with whom I played last year, with sanity-losing results)

$$!6 = 6! \left( 1 - \frac{1}{1!} + \frac{1}{2!} - \frac{1}{3!} + \frac{1}{4!} - \frac{1}{5!} + \frac{1}{6!} \right) = 265 \text{ derangements}$$

To find the probability my group had last year, divide the number of derangements by the total number of permutations

$$P(!6) = \frac{!6}{6!} = \frac{265}{720} \approx 0.368 \text{ or } 36.8\%$$

That's.... disappointing. Not even 50? In fact, we can generalize this well-known result for any n

$$P(!n) = \frac{!n}{n!} = \frac{n! \left( 1 - \frac{1}{1!} + \frac{1}{2!} - \dots + \frac{(-1)^n}{n!} \right)}{n!}$$

The  $n!$  cancels out from the numerator and denominator,

$$\therefore P(!n) = 1 - \frac{1}{1!} + \frac{1}{2!} - \dots + \frac{(-1)^n}{n!}$$

Interestingly, one may note that as  $n \rightarrow \infty$ , this is the Taylor Series expansion of  $e^x$  for  $x = -1$

$$e^x = 1 + \frac{x}{1!} + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots,$$

Ergo,  $P(!n \rightarrow \infty) = e^{-1} = \frac{1}{e} \approx 0.36787$  or 36.79%

So, no matter the number of people, the probability will tend to about 36.8%. Around 63.2% of the time, the drawing will fail. Doomed to repeat the Sisyphean cycle! And worse, this isn't the only thing wrong with the way we play the game, especially if the method involves picking one at a time.

### 3. WHEN THE PROBABILITIES DON'T MATCH...

People playing the game assume that there is an equal probability of them picking the name of anyone else in the group. This must be true, otherwise the game becomes unfair. Or is it? While this is not a problem when chits are drawn at the same time, in the traditional method of picking one by one, it becomes a hidden issue.

While picking turn by turn in a group of  $n$  people, the  $i$ th person can only pick names that haven't been picked yet, the number of which is  $n - i + 1$ . But, for a derangement, the number changes depending on whether or not  $i$ 's name has been picked already. If  $i$ 's name has already been picked,  $i$  can draw  $n - i + 1$  names. But if  $i$ 's name has not been taken before  $i$ 's turn, their name is still in the pool. Hence, for a derangement, one possibility is subtracted, making the number of choices  $n - i$ .

Therefore, the probability of  $i$  drawing the name of any person in the group is

$$\frac{1}{n - i + 1} \text{ or } \frac{1}{n - i}, \text{ depending on when } i \text{ is picked}$$

To make the notation easier, we can define a function  $T(i)$  (T because I think of it *toggling* between the values) which takes the value of 1 when  $i$  is picked before  $i$ 's turn, and 0 when  $i$  has not been picked as of  $i$ 's turn. Thus, for a derangement  $D$ , the probability of  $i$  picking a particular person in that derangement (represented as  $i \rightarrow D(i)$ ) is -

$$P(i \rightarrow D(i)) = \frac{1}{n - i + T(i)}$$

And the probability for the entire derangement occurring is simply the product of all the  $n$  such terms for each individual  $i$  -

$$P(D) = \prod_{i=1}^n \frac{1}{n - i + T(i)}$$

For example, in my case of 6 people, and the derangement  $D$  is ( $\rightarrow$  represents 'picks')

$$D = A \rightarrow B \rightarrow E \rightarrow D \rightarrow C \rightarrow F \rightarrow A$$

(where A picks first, B second, etc), the probability of the derangement occurring is equal to

$$\left(\frac{1}{5}\right) \left(\frac{1}{5}\right) \left(\frac{1}{3}\right) \left(\frac{1}{2}\right) \left(\frac{1}{2}\right) (1) = \frac{1}{300}$$

This evidently means that a change in the order of picking will change the probability of the derangement. We can use this to prove that some derangements are more probable than others, which directly means that there is a greater chance of you picking some people more than others.

To see this, let's introduce a few new variables.  $i, i + 1, k, y$  ( $i$  and  $i + 1$  are consecutive) are position variables in a group of  $n$  people.  $\alpha$  and  $\beta$  represent derangements which are otherwise equal, with the key difference being that in  $\alpha, k \rightarrow i$  and in  $\beta, k \rightarrow i + 1$ . There are three possible scenarios -

1.  $i$  or  $i + 1$  are already out of the pool by the time it's  $i$ 's turn
2. Both  $i$  and  $i + 1$  are in the pool and  $i$  picks  $i + 1$  or vice-versa
3. Both  $i$  and  $i + 1$  are in the pool and both are picked after  $i + 1$ 's turn

What we will do is compare  $\alpha$  and  $\beta$ , which are equal except for the alterations made due to  $k$  picking different people in each, and the changes which occurred due to the difference in  $k$ 's pick. By comparing the probabilities of these two derangements, we can directly compare the probabilities of  $k$  picking  $i$  and  $i + 1$ .

**Case 1:** Where  $y < i < i + 1 < k$

In derangement  $\alpha$ ,  $k \rightarrow i$  (as defined above), and suppose  $y \rightarrow i + 1$

In derangement  $\beta$ ,  $k \rightarrow i + 1$  (""), and  $y \rightarrow i$

All other elements in both derangements are equal. Thus, the probabilities of both of these derangements will be equal at all terms of the form  $\frac{1}{n-i+T(x)}$ , except at the terms  $\frac{1}{n-i+T(i)}$  and  $\frac{1}{n-(i+1)+T(i+1)}$ , as they are picked by different people.

In  $\alpha$ ,  $i + 1$  drew after they were picked ( $y$  is positionally lesser), making  $T(i + 1) = 1$ .  $i$  drew before they were picked ( $k$  is positionally larger), making  $T(i) = 0$ .

In  $\beta$ ,  $i + 1$  draws before they are picked ( $T(i + 1) = 0$ ) and  $i$  draws after they are picked ( $T(i) = 1$ )

Thus, by plugging these into the probability of derangement formula we have above,

$$P(\alpha) = \frac{1}{(n - (i + 1) + 1)} \frac{1}{(n - i)} \prod_{j=1, j \neq i, j \neq i+1}^n \frac{1}{n - j + T(j)}$$

$$P(\beta) = \frac{1}{(n - (i + 1))} \frac{1}{(n - i + 1)} \prod_{j=1, j \neq i, j \neq i+1}^n \frac{1}{n - j + T(j)}$$

( $j$  is a variable that runs over all terms, except  $i$  and  $i + 1$ , as all the other terms are equal). Evaluating the denominator for  $\alpha$ :

$$(n - (i + 1) + 1)(n - i) = (n - i)(n - i) = (n - 1)^2$$

And for  $\beta$ ,

$$(n - (i + 1))(n - i + 1) = (n - i - 1)(n - i + 1) = (n - i)^2 - 1 < (n - 1)^2$$

Thus, by comparing them,  $P(\beta) > P(\alpha)$ .

Interesting. But we still have two more cases.

**Case 2:** Where  $i < i + 1 < k$  and  $y \in \{1, \dots, n\}$

Suppose in derangement  $\alpha$ ,  $i \rightarrow i + 1$ ,  $i + 1 \rightarrow y$  and again  $k \rightarrow i$

In  $\beta$ , everything else is equal, except for the facts that  $i \rightarrow y$ ,  $i + 1 \rightarrow i$  and as defined,  $k \rightarrow i + 1$

For  $\alpha$ ,  $i$  is picked by  $k$  after their turn ( $T(i) = 0$ ) and  $i + 1$  is picked by  $i$  before their turn ( $T(i + 1) = 1$ )

For  $\beta$ ,  $i$  is picked by  $i + 1$  after their turn ( $T(i) = 0$  again) and  $i + 1$  is picked  $k$  after their turn ( $T(i + 1) = 0$ )

Hence, the probabilities of  $\alpha$  and  $\beta$  are

$$P(\alpha) = \frac{1}{(n - (i + 1) + 1)} \frac{1}{(n - i)} \prod_{j=1, j \neq i, j \neq i+1}^n \frac{1}{n - j + T(j)}$$

$$P(\beta) = \frac{1}{(n - (i + 1))} \frac{1}{(n - i)} \prod_{j=1, j \neq i, j \neq i+1}^n \frac{1}{n - j + T(j)}$$

Some simple algebra in the denominators will once again get you the result of

$$P(\beta) > P(\alpha)$$

**Case 3:** Where  $i < i + 1 < k$  and  $y \in \{i + 2, \dots, n\}$

In  $\alpha$ , let  $y \rightarrow i + 1$  and  $k \rightarrow i$

In  $\beta$ , let  $y \rightarrow i$  and  $k \rightarrow i + 1$

Since  $y$  and  $k$  are both positionally larger than  $i + 1$ , all four scenarios for which we checked the value of the toggle function in the previous two cases will be equal to 0, as both  $i$  and  $i + 1$  are picked after their turns.

Thus,

$$P(\alpha) = \frac{1}{(n - (i + 1))} \frac{1}{(n - i)} \prod_{j=1, j \neq i, j \neq i+1}^n \frac{1}{n - j + T(j)}$$

$$P(\beta) = \frac{1}{(n - (i + 1))} \frac{1}{(n - i)} \prod_{j=1, j \neq i, j \neq i+1}^n \frac{1}{n - j + T(j)}$$

By comparing, we see that

$$P(\alpha) = P(\beta)$$

Summing up our finding from the last three cases, we get to the conclusion that  $P(\beta) \geq P(\alpha)$ . Since the only difference between these two is  $k$ 's pick, we can conclude that

$$P(k \rightarrow i + 1) \geq P(k \rightarrow i)$$

This is a crucial result. If we inductively apply this over all  $k$ s and  $i$ 's, it leads to the consequence that when drawing one at a time, a person is most likely to pick the name of the person who drew just before him, then the person who drew two people before, and so on. Mathematically,

$$P(k \rightarrow k - 1) \geq P(k \rightarrow k - 2) \geq P(k \rightarrow k - 3) \dots \geq P(k \rightarrow 1)$$

And the first person is most likely to draw the name of the last person. So, in the traditional method of the game, there isn't even an equal probability of picking everybody else in the group. But maybe this can play to our advantage. The guy who gave you that pen which refused to write and broke the instant in came in contact with paper last year? Yea... you probably should make sure he doesn't pick immediately after you this time.

#### 4. TO CONCLUDE

Hopefully, this essay helped convey a few reasons why Secret Santa just feels so...rigged, and in the process explore the amazing math involved. So, the big question – how do we solve this festive conundrum? Well, I would like to answer that, but with my word count rapidly approaching its limit, I would like to leave that as an exercise for the reader. As December comes around this year once more, this article now presents you with two choices: either tell everyone you know why the game is fundamentally flawed and put your heads together to devise a solution, **OR** you can remain silent when you pick your own name, don't make everyone repeat the draw and buy yourself that nice Klein bottle which you've always wanted with your name custom printed on it.

#### REFERENCES

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